# Transfer of Securities

Charles Schwab
3148-7709
0164
Sigma Nu Alumni Scholarship Fund
Peter J. Merlone
415/693-9000
425 California Street, 10 <sup>th</sup> Floor
San Francisco, CA 94104
Transferors' Name

# Wire Transfer

To:

ABA:
FBO:
Account Number:
For the Account of:
Account Number:
Reference:

# <u>Checks</u>

Make Payable To: Mail To:

### Pay Pal

Donation Link:

Citibank NA 111 Wall Street NY, NY 10043 021-000-089 Charles Schwab & Co., Inc. 4055-3953 Sigma Nu Alumni Scholarship Fund 3148-7709 Transferors' Name

Sigma Nu Alumni Scholarship Fund Peter J. Merlone Merlone Geier Partners 425 California Street, 10<sup>th</sup> Floor San Francisco, CA 94104

<u>Donate</u>

# Schwab Transfers of Securities or Cash

To transfer securities or cash from an existing Schwab account to the Scholarship Fund Schwab account, kindly use the attached 'Charitable Gift Transfer Letter of Authorization' form with the Scholarship Fund account number and name already completed. Provide the fully completed form to your Schwab account representative or fax to 888/526-7252.

### IRA and 401(k)

For donors at least 70.5 years old contributions up to a limit of \$100,000 per year made directly from an IRA or 401(k) to the Scholarship Fund as part of a required taxable distribution are not taxable. Charitable contributions from a Roth IRA or Roth 401(k) are not advisable without consultation with your tax advisor.

By way of example, if the required distribution from an existing IRA or 401(k) is \$15,000 in the current calendar year (all of this is unique to each donor and typically shown as a percentage of the balance in the account), the \$15,000 obligatory distribution that would otherwise be taxable can be made non-taxable by directly paying the \$15,000 (or whatever amount the donor would like up to the obligatory distribution) from the IRA or 401(k) to the Scholarship Fund.

Withdrawing the gift first from the IRA or 401(k) and then writing a check from your personal account to the Scholarship Fund would qualify as a charitable gift but the amount withdrawn from the IRA or 401(k) would be taxable income.

Provide your account representative/custodian with the instructions on the first page to transfer cash or securities directly from your IRA or 401(k) account to the Scholarship Fund.



# Charitable Gift Transfer

# Letter of Authorization

### · Complete, sign, and fax to: 1-877-824-3726, Attention: Outgoing Transfers. Clients of investment advisors: Please return this form to your advisor.

- Use this form to make charitable gift donations by transferring stocks, bonds, and/or mutual funds from your Schwab account to an Individual or charitable organization account held at another financial institution.
- For donations to a Schwab Charitable™ account, please use the Contribute to Your Donor-Advised Fund Account form. You can find the form in the Forms Center located on the Resources tab at www.schwabcharitable.org.

### **1. Schwab Account Information**

Schwab Account Number

Account Title/Registration

### 2. Securities to Be Transferred

For each security listed, indicate either Purchase Date and Cost Per Share or Alternate Accounting Method.

Name of Stock/ Bond/Mutual Fund	Symbol/Ticker/ CUSIP	Number of Shares to Be Transferred*	Purchase Date (Optional)	Cost Per Share (Optional)	OR	Alternate Accounting Method (Please indicate: FIFO, LIFO, Low-Cost, or High-Cost)
					OR	
					OR	
					OR	
					OR	

If a specific lot is not available, we will apply your account's default accounting method. FIFO (first in, first out) is applied when the mutual fund is set to average cost. Please reference the Cost Basis Disclosure Statement for additional details.

\*Only whole shares of equity/stock can be transferred (any fractional shares requested will remain in the account). Shares cannot be specified in a dollar amount.

**3. Transfer Instructions** (If you are transferring mutual funds, please contact the receiving Institution to obtain delivery instructions.) Charitable Organization or Individual Recipient Information:

Receiving Institution Account Number	Account Title/Registration
Contact Name at Charitable Organization or Name of Individual Recipient	Telephone Number ( )
Mailing Address	City State Zip
Name of Receiving Institution	DTC Number (Required)

#### Your name will appear as the charitable gift donor unless you check the following box:

 $\Box$  I wish for this gift to be anonymous.

### 4. Authorization to Transfer (All Account Holder signatures are required.)

Please accept this letter as authorization to irrevocably transfer the above listed security(ies) from my/our Schwab account to the designated individual or charitable organization listed above. Shares will be transferred in kind and are not to be liquidated. I hereby release and discharge Schwab and its affiliates from any liability or claims in connection with the aforementioned instructions and agree to indemnify and hold Schwab harmless against any losses from any action, claim, or demand of any person based upon Schwab acting under these instructions.

Signature(s) and Date(s) Required							
X Account Holder Signature	Print Name	Date					
X Additional Account Holder Signature	Print Name	Date					

